





ECC Decision (05)10

The free circulation and use of Earth Stations on board Vessels operating in fixed satellite service networks in the frequency bands 14-14.5 GHz

Approved 24 June 2005

Amended: 8 March 2019

EXPLANATORY MEMORANDUM

1 INTRODUCTION

For many years, satellite communications for ships was limited to services provided by systems operating in the 1.5/1.6 GHz band. In the last decade however, operators have installed terminals on ships which operate in the fixed satellite service bands at 4/6 GHz, and at 11/12/14 GHz. These terminals are based on the design of conventional VSAT networks, but make use of highly accurate stabilised platforms to maintain the necessary antenna tracking of the GSO space station, even while the vessel is in motion. Within the ITU, such terminals are known as Earth Stations on board Vessels (ESV).

ESV are often the only solution for users who require high communications bandwidth which cannot be met by the other maritime systems, and can be the most cost effective solution for users who require a modest bandwidth but "always on" capability.

Example applications are:

- Large data transfer requirements for scientific research ships;
- Passenger telephone and internet service for ferries and cruise ships;
- Extension of the corporate LAN to the ship's bridge.

The 4/6 GHz FSS satellites provide "global" beam coverage and are therefore used by ESV on vessels on long-distance ocean crossing routes. For other users, the area of operation can be limited to smaller regions (e.g. within the North Sea or Mediterranean) and hence use can be made of the "regional" beams typical of 11/14 GHz FSS networks.

A number of ITU-R Recommendations have been developed:

- S.1587 Provisional technical characteristics of earth stations on board vessels operating in the frequency bands 5 925-6 425 MHz and 14-14.5 GHz which are allocated to the fixed-satellite service [1];
- SF.1585 Example approach for determination of the composite area within which interference to fixed service stations from earth stations on board vessels when operating in motion near a coastline would need to be evaluated [2];
- SF.1648 Use of frequencies by earth stations on board vessels transmitting in certain bands allocated to the fixed-satellite service [3];
- SF.1649 Guidance for determination of interference from earth stations on vessels (ESV) to stations in the fixed service when the ESV is within the minimum distance [4];
- SF.1650 The minimum distance from the coastline beyond which in-motion earth stations located on board vessels would not cause unacceptable interference to the fixed service in the bands 5 925-6 425 MHz and 14-14.5 GHz [5].

At WRC-03, provisions relating to ESV were agreed in Resolution 902 (WRC-03) and Recommendation 37 (WRC-03) [6]. The Resolution defines distances from the low-water mark as officially recognised by the coastal State within which permission from potentially affected administrations must be obtained. Although the regulatory provisions are a positive step towards the recognition and operation of ESV, they give little guidance as to how administrations should handle ESV operations within the minimum distances from the low-water mark as officially recognised by the coastal State, and do not specifically address the licensing of ESVs.

2 BACKGROUND

Geographic Restrictions

Some portions of the bands used by ESV are also allocated to terrestrial services. The minimum distances from the low-water mark as officially recognised by the coastal State which have been agreed within the ITU (300 km at 6 GHz and 125 km for 14 GHz) are based on the protection of fixed service systems. Under the Radio Regulations [6], transmissions from ESV within these distances shall be subject to the prior agreement of the relevant administrations where the bands are allocated to the fixed or mobile services.

Most ESV may need, at times, to operate closer than the minimum distances from the low-water mark as officially recognised by the coastal State. The use of the 6 GHz band and parts of the 14 GHz band by the FS within Europe means that prior agreement could be required from several administrations, depending on the intended route of the ESV and frequency of operation. The ITU-R has developed Recommendations which could be used to perform the necessary interference analysis and determine acceptable/prohibited areas of operation. The mobile nature of the ESV means that the process is more complex than traditional coordination between terrestrial services and permanent fixed earth stations. On the other hand, once an area of sea has been successfully cleared for ESV operation, it may be usable by any ESV with similar technical characteristics.

It is unlikely that administrations will want to entertain requests for agreement from numerous ESV or ESV service providers, and for each trip made by an individual ESV within the minimum distance of that administration. Hence it appears beneficial to all concerned to define the necessary geographic restrictions in a harmonised manner that can be applied generally by administrations and the ESV industry in Europe.

Considerations by administrations on the use of the band 14.25-14.5 GHz

With regard to the band 14.25-14.5 GHz, it is necessary to consider the potential ESV operations within the 125 km distance from the low-water mark as officially recognised by the coastal State. For those CEPT administrations that have fixed or mobile systems in this band there is a range of options available which depend on the type and extent of terrestrial systems in operation:

- They may consider the risk of ESV interference to be sufficiently small such that ESV can operate without geographic constraints;
- They may consider it appropriate to apply a single distance from the low-water mark as officially recognised by the coastal State, but with a distance smaller than 125 km. The distance could be different for different segments of the band;
- They may be willing to allow ESV to transmit when closer to their shores than 125 km by a distance commensurate with either (a) an offered reduction in maximum e.i.r.p. or e.i.r.p. density in the horizontal plane to maintain the interference pfd at the coast, (b) an accepted increase in the interference pfd at the coast, or (c) an agreed combination of (a) and (b).
- They may be prepared to coordinate ESVs for operation within the minimum distance. This could, for example, be limited to specific ports or for a limited range of frequencies. The ITU-R Recommendations SF.1585 [2] and SF.1649 [4] provide guidance in this regard;
- They may treat the 125 km distance from the low-water mark as officially recognised by the coastal State as an exclusion zone and prohibit ESV operation within this zone in the band 14.25-14.5 GHz;
- This information may be frequency specific.

Licensing Issues

There may be a few cases where an ESV operates entirely within the territorial waters of a single administration, but in general, an ESV will travel between the ports of more than one country. Thus time will be spent within the territorial waters of several different administrations and within international waters. This situation could introduce a number of uncertainties and difficulties to the licensing process. A lack of harmonised rules and conditions applied by administrations would mean that it would be impractical for an ESV to be licensed for operation within all the territorial waters on its route. This may be contrasted with the

situation for other radio equipment on a ship, which is generally licensed by a single administration, but the licence is recognised by other administrations.

Due to the international nature of ESV operations, a harmonised approach would benefit ESV users, service providers and administrations.

Summary of Requirements

As a consequence of the geographical and licensing issues regarding the operation of ESV, there is a need for an ECC Decision to allow for harmonised operation of ESV in the frequency bands 11/12/14 GHz. ESV operation in the 4/6 GHz bands is covered by a separate Decision.

3 REQUIREMENT FOR AN ECC DECISION

The ECC has developed a process of authorisation which takes into account the requirements of both CEPT administrations and ESV operators, and the international obligations defined in the ITU Radio Regulations. The process is put into place through this Decision.

With regard to the geographic areas within which restrictions may apply to ESV, it is necessary to make the information available to all ESV operators. Administrations should make available the information which defines the restricted or excluded areas to The Office. This information is then made available to ESV operators through the facilities of the Office web site. ESV operators which operate within the scope of this Decision are required to comply with the restrictions defined by the administrations and provided to The Office. ESV operators are required to register their networks with The Office and to provide certain technical and operational information about their networks.

In return for complying with the requirements of this Decision, ESV are granted free circulation and use, and ESV operators are exempted from the requirement to obtain licences from CEPT administrations. However, most CEPT administrations license radio equipment on board ships which are registered in their country and some may also require ESV which are installed on ships registered in the their country to be licensed. This Decision retains the right of administrations to require individual ESV terminals to be licensed or exempted from individual licensing.

ECC DECISION OF 24 JUNE 2005 ON THE FREE CIRCULATION AND USE OF EARTH STATIONS ON BOARD VESSELS (ESV) OPERATING IN FIXED SATELLITE SERVICE NETWORKS IN THE FREQUENCY BANDS 14-14.5 GHZ (EARTH-TO-SPACE), 10.7-11.7 GHZ (SPACE-TO-EARTH) AND 12.5-12.75 GHZ (SPACE-TO-EARTH) (ECC/DEC/(05)10), AMENDED ON 8 MARCH 2019

"The European Conference of Postal and Telecommunications Administrations,

considering

- a) that the band 14-14.5 GHz is allocated to the fixed satellite service (FSS) (Earth-to-space) on a primary basis in the ITU Radio Regulations (ITU RR) [6]:
- b) that, by the provisions of ITU RR No. 5.457A [6] Earth Stations on board Vessels (ESV) may communicate with space stations of the FSS in the band 14-14.5 GHz;
- c) that the band 14.3-14.5 GHz is allocated to the fixed service (FS) on a primary basis in the ITU RR;
- d) that the band 14.25-14.3 GHz is additionally allocated to the FS on a primary basis in a number of countries by the provisions of ITU RR No. 5.508 [6];
- e) that the radio astronomy service (RAS) is allocated on a secondary basis in the band 14.47-14.5 GHz where ITU RR No. 5.149 [6] applies;
- f) that Resolution 902 (WRC-03) [6] provides the provisions relating to ESV which operate in FSS networks in the band 14-14.5 GHz;
- g) that ESV terminals may be licensed or exempted from individual licensing by the country in which the vessel is registered;
- h) that Recommendation 37 (WRC-03) [6] states that concerned administrations (as identified in Clause 5, Annex 1 Res. 902 (WRC-03) [6]) are encouraged to cooperate with administrations which license ESV;
- that, for the purpose of resolving potential interference issues with terrestrial services in the band 14.25-14.5 GHz, some CEPT administrations may require that operators of ESV operating in the band 14.25-14.5 GHz, obtain frequency authorisation due to specific national requirements, while other CEPT administrations may require some form of notification from the ESV network operator, or exempt the ESV network operator from either of these requirements;
- j) that ESV operations in the band 14-14.5 GHz within the territorial sea and internal waters as defined in the United Nations Convention on the Law of the Sea (UNCLOS 1982) [7], or close to national off-shore installations and structures are subject to regulations issued by national authorities. Information on limitations to ESV operations due to these national regulations can be sent to the Office, using the format given in Annex 2;
- k) that administrations may request from ESV operators details regarding the vessels equipped with ESV terminals which are operating under this Decision;
- that this Decision shall not impede EEA member countries from fulfilling their obligations according to Community law.

DECIDES

- 1. to designate the frequency bands 14.0-14.5 GHz (Earth-to-space), 10.7-11.7 GHz and 12.5-12.75 GHz (space-to-Earth), for the use, inter alia, of ESV operating in the FSS;
- that those ESV referred to in Decides 1 shall comply with Resolution 902 (WRC-03) [6];
- 3. that this Decision applies only to ESV covered by the Decides above and fulfilling the following conditions:

- a) complying with the relevant European Telecommunication Standard (EN 302 340 [8]) which may be demonstrated by compliance with equivalent technical specifications (in the sense of art. 3(2) of the RE Directive);
- b) having an antenna size of 0.6 m or larger;
- c) operating under the control of a network control facility;
- d) operating under a satellite network where the ESV network operator or other organisation with control over ESV transmissions has notified The Office that those ESV operating within their system or under their control comply with all the requirements of this Decision, including any conditions notified to The Office by administrations under Decides 5 and 6, and has provided the required contact and technical information;
- 4. that administrations shall allow free circulation and use of ESV, subject to the provisions of this Decision;
- 5. that with regard to ESV operations in the band 14.25-14.5 GHz, within the minimal distance of 125 km from the low-water mark as officially recognised by the coastal State or within the territorial seas or internal waters, the concerned administrations shall inform The Office, as specified in the Annex 1, about any limitations (or changes to these), such as the areas where constraints are imposed, including areas where ESV operation is permitted or not permitted
- 6. that administrations shall notify to The Office their requirements related to considerings g), i) and j) above, if any, using the procedure in Annex 2;
- 7. that, as a consequence of Decides 3d), and 6, and without prejudice to considering g) and i), other than the notification (Annex 3) from ESV operators, administrations shall not require ESV network operators to obtain additional authorisations for the operation of their ESV;
- 8. that this Decision enters into force on 24 June 2005;
- 9. that the preferred date for implementation of this Decision shall be 1 October 2005;
- 10. that CEPT administrations shall communicate the national measures implementing this Decision to the ECC Chairman and The Office when the Decision is nationally implemented."

Note:

Please check the Office documentation database https://www.ecodocdb.dk for the up to date position on the implementation of this and other ECC Decisions.

ANNEX 1: PARAMETERS TO BE SUBMITTED BY CONCERNED ADMINISTRATIONS UNDER DECIDES 5

A concerned Administration, as defined in considering h), permitting or limiting ESV emissions within the 125 km distance from the coast or within the territorial seas or internal waters in the band 14.25-14.5 GHz frequency range shall submit to The Office the following information.

The latest version of the <u>ECC Report 69</u> on ESV Contours provides guidance on the format in which administrations should present this information. The data files containing the values of the parameters shall be text files (.txt). Other documents shall be text files, rich text files (.rtf), Word files (.doc) or Portable Document Format file (.pdf).

Table 1: Administration details

Administration
Administration address
Contact name
Contact telephone number
Contact e-mail address

The following are example tables of the list of contour and the associated table of conditions and contour definitions.

Table 2: List of contours

Contour designator	Version number	Distance(s) from coast (km) or contour ¹ , or reference to a location	Table of conditions	Date of publication by the administration	Date of entry into force	Notes
Contour number (e.g. No.1)		xyz	Reference to a particular table of conditions			Free text field. Possibly a link to Administration's website.

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¹ See example of contour in Table 4

Table 3: Table of conditions

Contour designator	List of Parameters ²	Parameter Value	Parameter Units	Notes
Contour name (e.g. No.1)	Parameter 1 Parameter 2 Parameter N	Xyz 	 	Free text field. Possibly a link to Administration's website

Table 4: Example of UK contour definition

Longitude	Latitude
1,00000	48,00000
0,99833	47,99306
0,99722	47,98583
0,99694	47,97861
0,99722	47,97139
0,99806	47,96444

Concerned administrations may file as many contours as they wish.

The contour parameter list is limited to the following parameters:

Table 5: List of possible contour parameters

Frequency range, see note below		
Maximum ESV e.i.r.p. spectral density (per MHz) toward the horizon, possibly azimuth and distance dependent		
Maximum ESV e.i.r.p. towards the horizon, possibly azimuth and distance dependent		
Maximum ESV e.i.r.p. per vessel		
Maximum off-axis e.i.r.p. density limitations, possibly azimuth and distance dependent		
Any antenna pointing restrictions		
Operational management procedures		

Note: In each contour, administrations may require that either the occupied bandwidth or the nominated bandwidth as defined in the applicable EN do not overlap the frequency bands within which the ESV are not

² See list of possible contour parameters in Table 5

authorized to transmit. This nominated bandwidth is the nominated bandwidth of the ESV declared for compliance with the applicable EN.

Procedures for reporting parameter values

- Frequency range (lower frequency limit and upper frequency limit) and the value should be reported in MHz
- 2. e.i.r.p. values should be reported in dBW with an accuracy of one decimal place
- 3. Distances should be reported in nautical miles or kilometres with a clear indication of the unit used.
- 4. Longitude and latitude should be given in decimal degrees or grades (gr), preferably in degrees, within the WGS84 coordinate system.

ANNEX 2: NOTIFICATION TO BE SUBMITTED BY ADMINISTRATIONS UNDER DECIDES 6

Under Decides 6 and due to considering g), i) and j) national administrations may submit the following notification.

Statement if the ESV equipment is exempt or not from individual licensing when installed on board ships registered in their country.

If the case is that a "radio station licence" is required, a statement shall be submitted on what are the requirements needed for ESV equipment to be installed on board a ship registered in their country. Also, the Administration shall provide details on point of contact (name, address, telephone number, fax, e-mail) where application for ESV forms can be retrieved;

Statement if the ESV equipment installed on board ships registered in a foreign country (CEPT or non-CEPT), which may land at their national ports, should require to have a "radio station license" or not.

Statement on national regulations for ESV in the band 14-14.5 GHz when navigating in national and internal waters³, or near national off-shore platforms, or when at ports.

Statement on other national requirement for frequency authorisation for ESV operators for the purpose of resolving potential interference issues to terrestrial services in the band 14.25-14.5 GHz.

The latest version of ECC Report 69 [10] on ESV Contours provides guidance on the format in which administrations should present this information. Documents shall be text files, rich text files (.rtf), Word files (.doc) or Portable Document Format file (.pdf).

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³ ECC Report 272 [9] considers Earth Stations operating in the frequency bands 4-8 GHz, 12-18 GHz and 18-40 GHz in the vicinity of airfields.

ANNEX 3: PARAMETERS THAT NEED TO BE SUBMITTED BY ESV NETWORK OPERATORS

An ESV network operator is required to submit to the Office the following parameters together with a declaration that their systems comply with the requirements of the Decision including any restrictions notified to the Office by CEPT administrations under Decides 5 and 6 of this Decision.

Table 6: Network operator details

Network operator name	
Network operator address	
Contact name	
Contact telephone number	
Contact e-mail address	
Network Control Facility (NCF) designated point of contact	
NCF Contact name	
NCF Contact telephone number	
NCF Contact e-mail address	

1. Technical Specification(s) of ESV type(s) used in the network

ESV Antenna

Antenna type Antenna size Transmit frequency bands Transmit peak gain Max e.i.r.p. per carrier Min. operating elevation Pointing accuracy

Waveform definition

Number(s) of carriers per ESV Maximum occupied bandwidth(s) per carrier Modulation Multiple access scheme

2. Operating details of each satellite

ITU Filing satellite name Satellite operator name **GEO** Ionaitude Satellite service area (text description and/or a figure of the area)

Forward Channel details (Satellite to ESV)

Transponder downlink centre frequency

Transponder downlink bandwidth

Return Channel details (ESV to satellite)

Transponder uplink centre frequency

Transponder uplink bandwidth

ANNEX 4: THE OFFICE WEBSITE

The Office will make publicly available information submitted by administrations on its website.

It shall be possible for an ESV network operator to collect the relevant information from The Office website including the latest information and to identify any modification since the latest access.

A simple method (e.g. automatic email) needs to be established in order for the ESV network operators to be informed by the Office of any changes in the requirements that are imposed by the administrations.

From time to time, an Administration may need to update the conditions notified using Annexes 1 and 2. If the requirements are non-safety related, the ESV network operator or other organisation with control over ESV transmissions will need sufficient time to comply with new conditions. In general, such changes or new conditions should be implemented within a time period of 90 days for change of data, and 180 days for change of software.

In case of safety related issues the ESV network operators might be required to implement the changes in a shorter time period.

The information provided by the network operators shall be accessible only by all CEPT administrations, apart from network operator contact information, which is publicly available. Other parts of this information may be kept confidential insofar as other ESV network operators are concerned (i.e. multiple layers of passwords may be required).

ANNEX 5: LIST OF REFERENCES

This annex contains the list of relevant reference documents.

- [1] Recommendation ITU-R S.1587-5: "Provisional technical characteristics of earth stations on board vessels operating in the frequency bands 5 925-6 425 MHz and 14-14.5 GHz which are allocated to the fixed-satellite service"
- [2] Recommendation ITU-R SF.1585-0: "Example approach for determination of the composite area within which interference to fixed service stations from earth stations on board vessels when operating in motion near a coastline would need to be evaluated"
- [3] Recommendation ITU-R SF.1648-0: "Use of frequencies by earth stations on board vessels transmitting in certain bands allocated to the fixed-satellite service"
- [4] Recommendation ITU-R SF.1649-1: "Guidance for determination of interference from earth stations on vessels (ESV) to stations in the fixed service when the ESV is within the minimum distance"
- [5] Recommendation ITU-R SF.1650-1: "The minimum distance from the coastline beyond which in-motion earth stations located on board vessels would not cause unacceptable interference to the fixed service in the bands 5 925-6 425 MHz and 14-14.5 GHz"
- [6] ITU Radio Regulations, Edition of 2016
- [7] United Nations Convention on the Law of the Sea (UNCLOS 1982)
- [8] ETSI EN 302 340 V2.1.1: "Satellite Earth Stations and Systems (SES); Harmonised Standard for satellite Earth Stations on board Vessels (ESV) operating in the 11/12/14 GHz frequency bands allocated to the Fixed Satellite Service (FSS) covering the essential requirements of article 3.2 of the Directive 2014/53/EU"
- [9] ECC Report 272: "Earth Stations operated in the frequency bands 4-6 GHz, 12-18 GHz And 18-40 GHz in the vicinity of Aircraft", January 2018
- [10] ECC Report 69: "Formats for submission of information from administrations to the Office on conditions for operation of Earth stations aboard vessels within the separation distances identified in ITU RR Resolution 902", January 2005